



PTO/SB/08a (07-09)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 11

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 08/461,402        |
| Filing Date            | 06/05/1995        |
| First Named Inventor   | Andrew H. Cragg   |
| Art Unit               | 3731              |
| Examiner Name          | Micheal H. Thaler |
| Attorney Docket Number | 94-P0273US02      |

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 3,818,511                            | 06/25/1974                     | Goldberg, et al.                                   |   |
|                       |                          | US- 5,843,175                            | 12/01/1998                     | Frantzen   |   |
|                       |                          | US- 6,589,277                            | 07/08/2003                     | Fabiani, et al.                                    |   |
|                       |                          | US- 6,685,736                            | 02/03/2004                     | White, et al.                                      |   |
|                       |                          | US- 6,689,158                            | 02/10/2004                     | White, et al.                                      |   |
|                       |                          | US- 6,843,803                            | 01/18/2005                     | Ryan, et al.                                       |   |
|                       |                          | US- 3,304,557                            | 02/21/1967                     | S. Polansky  |   |
|                       |                          | US- 3,357,432                            | 12/12/1967                     | C. H. Sparks                                       |   |
|                       |                          | US- 3,500,820                            | 03/17/1970                     | T. H. O. Almen                                     |   |
|                       |                          | US- 3,657,744                            | 04/25/1972                     | Ersek  |   |
|                       |                          | US- 3,805,301                            | 04/23/1974                     | Liebig   |   |
|                       |                          | US- 4,130,904                            | 12/26/1978                     | Whalen   |   |
|                       |                          | US- 4,202,349                            | 05/13/1980                     | Jones  |   |
|                       |                          | US- 4,494,531                            | 01/22/1985                     | Gianturco  |   |
|                       |                          | US- 4,530,113                            | 07/23/1985                     | Matterson  |   |
|                       |                          | US- 4,545,082                            | 10/08/1985                     | Hood   |   |
|                       |                          | US- 4,787,899                            | 11/29/1988                     | Lazarus  |   |
|                       |                          | US- 4,795,463                            | 01/03/1989                     | Gerow  |   |
|                       |                          | US- 4,994,032                            | 12/19/1991                     | Sugiyama, et al.                                   |   |

**FOREIGN PATENT DOCUMENTS**

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|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                       |                          | EP 0 686 379  | 12/13/1995                        | Cardiovascular Concepts                            |   | ✓              |
|                       |                          | CA 2 144 305 C  | 02/02/1995                        | Cook Incorporated                                  |   | ✓              |
|                       |                          | CA 2,086,333  | 04/25/1991                        | Schneider (USA) Inc.                               |   | ✓              |
|                       |                          | CA 2,158,373  | 10/27/1994                        | Pharmacyclics, Inc.                                |   | ✓              |
|                       |                          | EP 0 346 564 A1   | 02/20/1989                        | Medtronic, Inc.                                    |   | ✓              |
|                       |                          | EP 0 357 003 A2   | 03/07/1990                        | Corvita Corporation                                |   | ✓              |

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SignatureDate  
Considered

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Sheet 2

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| Examiner Name          | Michael H. Thaler |
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|                    |                       | US- 5,163,958                            | 11/17/1992                     | Pinchuk  |   |
|                    |                       | US- 5,192,310                            | 03/09/1993                     | Herweck, et al.                                    |   |
|                    |                       | US- 5,236,447                            | 08/17/1993                     | Kubo, et al.                                       |   |
|                    |                       | US- 5,306,294                            | 04/26/1994                     | Winston, et al.                                    |   |
|                    |                       | US- 5,330,500                            | 07/19/1994                     | Song   |   |
|                    |                       | US- 5,342,387                            | 08/30/1994                     | Summers  |   |
|                    |                       | US- 5,354,308                            | 10/11/1994                     | Simon, et al.                                      |   |
|                    |                       | US- 5,364,354                            | 11/15/1994                     | Walker, et al.                                     |   |
|                    |                       | US- 5,370,691                            | 12/06/1994                     | Samson   |   |
|                    |                       | US- 5,383,892                            | 01/24/1995                     | Cardon   |   |
|                    |                       | US- 5,395,349                            | 03/07/1995                     | Quiachon, et al.                                   |   |
|                    |                       | US- 5,395,390                            | 03/07/1995                     | Simon, et al.                                      |   |
|                    |                       | US- 5,419,324                            | 05/30/1995                     | Dillow   |   |
|                    |                       | US- 5,429,144                            | 07/04/1995                     | Wilk   |   |
|                    |                       | US- 5,443,497                            | 08/22/1995                     | Venbrux  |   |
|                    |                       | US- 5,484,418                            | 01/16/1996                     | Quiachon, et al.                                   |   |
|                    |                       | US- 5,489,295                            | 02/06/1996                     | Piplani, et al.                                    |   |
|                    |                       | US- 5,507,769                            | 04/16/1996                     | Marin, et al.                                      |   |
|                    |                       | US- 5,522,880                            | 06/04/1996                     | Barone, et al.                                     |   |

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|                    |                       | EP 0 466 518  | 01/15/1992                     | Harrison Med. Tech.                                |   | ✓              |
|                    |                       | EP 0 565 251 A1   | 10/13/1993                     | Cook, Inc.   |   | ✓              |
|                    |                       | EP 0 645 125  | 03/29/1995                     | SooHo Medi-Tech Co., Ltd.                          |   | ✓              |
|                    |                       | GB 1205743  | 09/16/1970                     | Colin C. Dldcott                                   |   | ✓              |
|                    |                       | GB 1491202  | 11/09/1977                     | Reginald W. Harrison                               |   | ✓              |
|                    |                       | GB 2269104  | 02/02/1994                     | Taha R. Lazim                                      |   | ✓              |

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| Filing Date            | 06/05/1995        |
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| Art Unit               | 3731              |
| Examiner Name          | Michael H. Thaler |
| Attorney Docket Number | 94-P0273US02      |

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|                        |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                        |                       | US- 5,540,712                            | 07/30/1996                     | Klechinski, et al.                                 |   |
|                        |                       | US- 5,562,726                            | 10/08/1996                     | Chuter   |   |
|                        |                       | US- 5,562,727                            | 10/08/1996                     | Turk, et al.                                       |   |
|                        |                       | US- 5,562,728                            | 10/08/1996                     | Lazarus, et al.                                    |   |
|                        |                       | US- 5,571,170                            | 11/05/1996                     | Palmaz, et al.                                     |   |
|                        |                       | US- 5,609,605                            | 03/11/1997                     | Marshall, et al.                                   |   |
|                        |                       | US- 5,609,627                            | 03/11/1997                     | Goicoechea, et al.                                 |   |
|                        |                       | US- 5,628,783                            | 05/13/1997                     | Quiachon, et al.                                   |   |
|                        |                       | US- 5,623,772                            | 05/27/1997                     | Alcime   |   |
|                        |                       | US- 5,639,278                            | 06/17/1997                     | Dereume, et al.                                    |   |
|                        |                       | US- 5,645,559                            | 07/08/1997                     | Hachtman, et al.                                   |   |
|                        |                       | US- 5,653,743                            | 08/05/1997                     | Martin   |   |
|                        |                       | US- 5,662,675                            | 09/02/1997                     | Polanskyj Stockert, et al.                         |   |
|                        |                       | US- 5,676,697                            | 10/14/1997                     | McDonald   |   |
|                        |                       | US- 5,683,448                            | 11/04/1997                     | Cragg  |   |
|                        |                       | US- 5,683,450                            | 11/04/1997                     | Goicoechea, et al.                                 |   |
|                        |                       | US- 5,693,086                            | 12/02/1997                     | Goicoechea, et al.                                 |   |
|                        |                       | US- 5,697,970                            | 12/16/1997                     | Schmitt  |   |
|                        |                       | US- 5,716,365                            | 02/10/1998                     | Goicoechea, et al.                                 |   |

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|                          |                       | FR 1602513  | 01/29/1971                     | Nat'l Research Dev. Corp.                          | English Translation   | ✓              |
|                          |                       | JP H02-167178   | 06/27/1990                     | Medtronic, Inc.                                    | English Abstract  | ✓              |
|                          |                       | JP H02-68052  | 03/07/1990                     | Corvita Corporation                                | English Abstract  | ✓              |
|                          |                       | JP 3133446  | 06/06/1991                     | Cook, Inc.   | English Abstract  | ✓              |
|                          |                       | JP H04-500328   | 01/23/1992                     | Hugh Trout   |   |                |
|                          |                       | JP 5305092  | 11/19/1993                     | Cook, Inc.   | English Abstract  | ✓              |

|                    |  |                 |  |
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|                    |                       | US- 5,718,724                            | 02/17/1998                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 5,725,572                            | 03/10/1998                     | Lam  |   |
|                    |                       | US- 5,741,332                            | 04/21/1998                     | Schmitt  |   |
|                    |                       | US- 5,741,325                            | 04/21/1998                     | Chaikof, et al.                                    |   |
|                    |                       | US- 5,776,180                            | 07/07/1998                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 5,800,456                            | 09/01/1998                     | Maeda, et al.                                      |   |
|                    |                       | US- 5,800,508                            | 09/01/1998                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 5,824,039                            | 10/20/1998                     | Piplani, et al.                                    |   |
|                    |                       | US- 5,824,042                            | 10/20/1998                     | Lombardi   |   |
|                    |                       | US- 5,871,536                            | 02/16/1999                     | Lazarus  |   |
|                    |                       | US- 5,876,432                            | 03/02/1999                     | Lau, et al.  |   |
|                    |                       | US- 5,916,263                            | 06/29/1999                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 5,938,696                            | 08/17/1999                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 5,997,573                            | 12/07/1999                     | Quijano, et al.                                    |   |
|                    |                       | US- 6,051,020                            | 04/18/2000                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 6,090,137                            | 07/18/2000                     | Schmitt  |   |
|                    |                       | US- 6,102,938                            | 08/15/2000                     | Evans, et al.                                      |   |
|                    |                       | US- 6,117,167                            | 09/12/2000                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 6,123,722                            | 09/26/2000                     | Fogarty, et al.                                    |   |

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|                    |                       | JP H05-509008  | 12/16/1993                     | Richard Stack                                      | English Abstract  | ✓              |
|                    |                       | JP 6023031   | 02/01/1994                     | Endovascular Tech. Inc.                            | English Abstract  | ✓              |
|                    |                       | JP H06-7454  | 01/18/1994                     | Cook, Inc.   | English Abstract  | ✓              |
|                    |                       | WO 83/03752  | 11/10/1983                     | Hans Iver Wallsten                                 |   | ✓              |
|                    |                       | WO 90/15582  | 12/27/1990                     | Hugh H. Trout, III                                 |   | ✓              |
|                    |                       | WO 91/17789  | 11/28/1991                     | Richard S. Stack, et al.                           |   | ✓              |

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|                    |                       | US- 6,156,063                            | 12/05/2000                     | Douglas  |   |
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|                    |                       | US- 6,221,099                            | 04/24/2001                     | Anderson, et al.                                   |   |
|                    |                       | US- 6,221,102                            | 04/24/2001                     | Baker, et al.                                      |   |
|                    |                       | US- 6,235,050                            | 05/22/2001                     | Quiachon, et al.                                   |   |
|                    |                       | US- 6,251,133                            | 06/26/2001                     | Richter, et al.                                    |   |
|                    |                       | US- 6,251,134                            | 06/26/2001                     | Alt, et al.  |   |
|                    |                       | US- 6,261,316                            | 07/17/2001                     | Shaolian, et al.                                   |   |
|                    |                       | US- 6,270,523                            | 08/07/2001                     | Herweck, et al.                                    |   |
|                    |                       | US- 6,273,909                            | 08/14/2001                     | Kugler, et al.                                     |   |
|                    |                       | US- 6,280,467                            | 08/28/2001                     | Leonhardt  |   |
|                    |                       | US- 6,283,991                            | 09/04/2001                     | Cox, et al.  |   |

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| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | WO 92/06734   | 04/30/1992                     | Ho Young Song                                      |   | ✓              |
|                    |                       | WO 97/09008   | 03/13/1997                     | Medtronic, Inc                                     |   | ✓              |
|                    |                       | WO 98/27895   | 07/02/1998                     | Prograft Medical, Inc.                             |   | ✓              |
|                    |                       | JP 4025755  | 01/29/1992                     | Japan Electron Control                             | English Abstract  | ✓              |
|                    |                       | FR 2 678 508  | 01/08/1993                     | Celsa Lg. (FR)                                     | English Abstract  | ✓              |
|                    |                       | WO 93 13825   | 07/22/1993                     | The State of Oregon                                |   | ✓              |

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Sheet 6

of 11

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|------------------------|-------------------|
| Application Number     | 08/461,402        |
| Filing Date            | 06/05/1995        |
| First Named Inventor   | Andrew Cragg      |
| Art Unit               | 3731              |
| Examiner Name          | Michael H. Thaler |
| Attorney Docket Number | 97-P0273US02      |

**U. S. PATENT DOCUMENTS**

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|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
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|                    |                       | US- 6,302,906                            | 10/16/2001                     | Goicoechea, et al.                                 |   |
|                    |                       | US- 6,312,462                            | 11/06/2001                     | McDermott, et al.                                  |   |
|                    |                       | US- 6,325,819                            | 12/04/2001                     | Pavcnik, et al.                                    |   |
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|                    |                       | US- 6,331,190                            | 12/18/2001                     | Shokoohi, et al.                                   |   |
|                    |                       | US- 6,334,869                            | 01/01/2002                     | Leonhardt, et al.                                  |   |
|                    |                       | US- 6,344,056                            | 02/05/2002                     | Dehdashtian  |   |
|                    |                       | US- 6,348,066                            | 02/19/2002                     | Pinchuk, et al.                                    |   |
|                    |                       | US- 6,361,557                            | 03/26/2002                     | Gittings, et al.                                   |   |
|                    |                       | US- 6,395,019                            | 05/28/2002                     | Chobotov   |   |
|                    |                       | US- 6,395,022                            | 05/28/2002                     | Piplani, et al.                                    |   |
|                    |                       | US- 6,398,803                            | 06/04/2002                     | Layne, et al.                                      |   |
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|                    |                       | US- 6,416,542                            | 07/09/2002                     | Marcade, et al.                                    |   |
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|--------------------|-----------------------|---|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | EP 0 464 755  | 01/08/1992                     | Nissho Corporation                                 |   | ✓              |
|                    |                       | EP 0 556 850  | 08/25/1993                     | Endotech Ltd.                                      |   | ✓              |
|                    |                       | DE 93 19 267.3 U  | 02/24/1994                     | Dierk Vorwerk                                      |   |                |
|                    |                       | EP 734 235  | 10/02/1996                     | William Cook                                       |   | ✓              |
|                    |                       | PM 1537   | 09/30/1993                     | Geoffrey H. White, et al.                          |   | ✓              |
|                    |                       | WO 95/16406   | 06/22/1995                     | William Cook                                       |   | ✓              |

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| Filing Date            | 06/05/1995        |
| First Named Inventor   | Andrew Cragg      |
| Art Unit               | 3731              |
| Examiner Name          | Michael H. Thaler |
| Attorney Docket Number | 97-P0273US02      |

| U. S. PATENT DOCUMENTS |                       |  |                                |  |   |
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|                        |                       | US- 6,454,795                            | 09/24/2002                     | Chuter   |   |
|                        |                       | US- 6,464,721                            | 10/15/2002                     | Marcade, et al.                                    |   |
|                        |                       | US- 6,485,524                            | 11/26/2002                     | Strecker   |   |
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|                        |                       | US- 6,540,777                            | 04/01/2003                     | Stenzel  |   |
|                        |                       | US- 6,547,820                            | 04/15/2003                     | Staudenmeier                                       |   |
|                        |                       | US- 6,551,350                            | 04/22/2003                     | Thornton, et al.                                   |   |
|                        |                       | US- 6,565,596                            | 05/20/2003                     | White, et al.                                      |   |
|                        |                       | US- 6,576,007                            | 06/10/2003                     | Dehdashtian, et al.                                |   |
|                        |                       | US- 6,576,009                            | 06/10/2003                     | Ryan, et al.                                       |   |
|                        |                       | US- 6,582,458                            | 06/24/2003                     | White, et al.                                      |   |
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|                        |                       | US- 6,613,073                            | 09/02/2003                     | White, et al.                                      |   |
|                        |                       | US- 6,645,242                            | 11/11/2003                     | Quinn  |   |
|                        |                       | US- 6,652,567                            | 11/25/2003                     | Deaton   |   |
|                        |                       | US- 6,682,541                            | 01/27/2004                     | Gifford, III, et al.                               |   |
|                        |                       | US- 4,994,071                            | 02/19/1991                     | Macgregor  |   |
|                        |                       | US- 5,064,435                            | 11/12/1991                     | Porter   |   |

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|                          |                       | Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          |                       | WO 95/08966   | 04/06/1995                     | Geoffrey H. White, et al.                          |   | ✓ <sup>1</sup> |
|                          |                       | EP 461 791 A1   | 12/18/1991                     | Hector D. Barone, et al.                           |   | ✓              |
|                          |                       | EP 539 237 A1   | 04/28/1993                     | Cook, Inc.   |   | ✓              |
|                          |                       | WO 93/19703   | 10/14/1993                     | Schneider (USA), Inc.                              |   | ✓              |
|                          |                       | JP 7501476  | 02/16/1995                     | Schneider (USA), Inc.                              | English Abstract  | ✓              |
|                          |                       | JP 3151983 A2   | 06/28/1991                     | Medtronic, Inc.                                    | English Abstract  | ✓              |

|                    |  |                 |  |
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| Examiner Signature |  | Date Considered |  |
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| Application Number     | 08/461,402        |
| Filing Date            | 06/05/1995        |
| First Named Inventor   | Andrew Cragg      |
| Art Unit               | 3731              |
| Examiner Name          | Michael H. Thaler |
| Attorney Docket Number | 97-P0273US02      |

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**U. S. PATENT DOCUMENTS**

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|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 4,562,596                            | 01/07/1986                     | Kornberg   |   |
|                       |                          | US- 5,201,757                            | 04/13/1993                     | Heyn, et al.                                       |   |
|                       |                          | US- 5,562,724                            | 10/08/1996                     | Vorwerk, et al.                                    |   |
|                       |                          | US- 5,035,706                            | 07/30/1991                     | Giantureo, et al.                                  |   |
|                       |                          | US- 4,830,003                            | 05/16/1989                     | Wolff, et al.                                      |   |
|                       |                          | US- 5,019,090                            | 05/28/1991                     | Pinchuk  |   |
|                       |                          | US-                                      |                                |  |   |
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|                       |                          | US-                                      |                                |  |   |
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|                       |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                       |                          | EP 0 421 729 A2   | 04/10/1991                        | Medtronic, Inc.                                    |   | ✓              |
|                       |                          | JP 03076554 A   | 04/02/1991                        | Sanyo Shokuhin KK                                  | English Abstract  | ✓              |
|                       |                          | CA 2,026,604  | 04/03/1991                        | Medtronic, Inc.                                    |   | ✓              |
|                       |                          | AU 653159   | 09/22/1994                        | Stack, et al.                                      |   | ✓              |
|                       |                          |   |                                   |  |   |                |
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|   |       | Application Number       | 08/461,402        |
|   |       | Filing Date              | 06/05/1995        |
|   |       | First Named Inventor     | Adrew H. Cragg    |
|   |       | Art Unit                 | 3731              |
|   |       | Examiner Name            | Micheal H. Thaler |
| Sheet 9   | of 11 | Attorney Docket Number   | 94-P0273US02      |

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|                                 |                       | Office Action in U.S. Patent Application Serial No. 10/692,886 dated March 17, 2009   | ✓              |
|                                 |                       | Board of Patent Appeals and Interferences (BPAI) Final Decision and Judgment dated July 27, 2001 in Interference No. 104,192  | ✓              |
|                                 |                       | Memorandum Opinion and Final Judgment of the United States District Court for the District of Columbia dated March 31, 2006, Civil Action No. 01 CV 1515  | ✓              |
|                                 |                       | Decision from the United States Court of Appeals for the Federal Circuit in Boston Scientific Scimed, Inc. v. Medtronic Vascular, Inc. and Eric C. Martin dated August 4, 2007  | ✓              |
|                                 |                       | U.S. App. 08/051,728  | ✓              |
|                                 |                       | Official Action in Canadian Application No. 2,182,982, issued by the Canadian Intellectual Property Office on March 30, 2006  | ✓              |
|                                 |                       | Notice of Reasons for Rejections of Japan Patent Application no. 2006-104577 dated May 15, 2007   | ✓              |
|                                 |                       | Notice of Reasons for Rejections of Japan Patent Application no. 2006-104574 dated May 15, 2007   | ✓              |
|                                 |                       | Notice of Reasons for Rejections of Japan Patent Application no. 2004-335171 dated April 24, 2007   | ✓              |
|                                 |                       | Notice of Opposition by Scimed Life Sciences, Inc. to European Patent No. 0 676 937 B   | ✓              |

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|  |       | First Named Inventor                | Andrew Cragg      |
|  |       | Art Unit                            | 3731              |
|  |       | Examiner Name                       | Michael H. Thaler |
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|                                 |                       | Opposition by William Cook Aps to European Patent No. 0 676 937 B   | ✓              |
|                                 |                       | Verlag, "Interventional Radiology," pp. 692-699 (1990)  | ✓              |
|                                 |                       | Yoshioka, et al., "Self-Expanding Endovascular Graft: An Experimental Study in Dogs," AJR 15: pp.673-676 (1998)   | ✓              |
|                                 |                       | Digital Video Disc (DVD) Allegedly Showing Operation Conducted by Geoffrey H. White on November 26, 1993  |                |
|                                 |                       | Declaration of Geoffrey H. White executed June 17, 2000   | ✓              |
|                                 |                       | Declaration of Ian L. Gordon executed June 17, 2000   | ✓              |
|                                 |                       | Declaration of W. Davis executed February 28, 2000  | ✓              |
|                                 |                       | Declaration of Mark Dehdashtian executed June 16, 2000  | ✓              |
|                                 |                       | Communication of a Notice of Opposition dated January 26, 2001 for EP 783 873 (including Notice of Opposition)  | ✓              |
|                                 |                       | Communication of a Notice of Opposition dated January 26, 2001 for EP 783 874 (including Notice of Opposition)  | ✓              |

|                    |                 |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 08/461,402        |
| Filing Date            | 06/05/1995        |
| First Named Inventor   | Andrew Cragg      |
| Art Unit               | 3731              |
| Examiner Name          | Michael H. Thaler |
| Attorney Docket Number | 94-P0273US02      |

Sheet 11 of 11

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    |                       | Office Action of Canadian Intellectual Property Office for Application No. 2,182,982 dated June 14, 2004  | ✓              |
|                    |                       | Notice of Reasons of Rejection of Japan Patent Office Application No. H07-521275 dated May 18, 2004   | ✓              |
|                    |                       | Copy of European Search Report regarding European Application No. EP 97 20 0643. December 15, 1998. 3 pgs.  | ✓              |
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Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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